

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
IN COMPLETION UNDER 37 CFR 3

Section 3

In re Application of:  
Jeffrey C. Cain, et al.  
Serial No.: 09/847,840



Filed: April 27, 2001  
Confirmation No.: 8683

Attention: Application Branch

Date: July 9, 2001

Attorney Docket No.: AVX-38-RE

Art Unit: 2831

Our Account No.: 04-1403

Title: Integrated Dual Frequency Noise Attenuator

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

Rule 53 signed Declaration enclosed.  
 This is a Rule 47 filing per attached Petition.  
 Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.  
 Specification originally filed in non-English language; hence verified translation attached of:  
 Abstract [ ] pages of Specification (only spec. & claims) [ ] Drawing Figs.  
 [ ] sheet(s) of formal drawings enclosed: size: [ ] A4 [ ] 14" [ ] 8½ by 11  
 Attached is an assignment to \_\_\_\_\_  
 Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s). \_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_, respectively.

Certified copy (copies) [ ] attached; [ ] already filed on \_\_\_\_\_ in U.S. Application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

Attached: \_\_\_\_\_ Verified Statement(s) establishing "small entity" status under Rules 9 & 27.  
 Attached is the request return copy of the PTO Notice.  
 Attached: Reissue Supplement with specification in double-column format as required by 37 CFR 1.173(a)(1).  
 Preliminary Amendment: \_\_\_\_\_

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED  
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee ..... \$ \_\_\_\_\_  
Total Effective Claims \_\_\_\_\_ - 20 = \_\_\_\_\_ x \$18.00 = \$ \_\_\_\_\_  
Independent Claims \_\_\_\_\_ - 3 = \_\_\_\_\_ x \$80.00 = \$ \_\_\_\_\_  
If any proper multiple dependent claim (ignore improper) is present, add \$270.00  
Filing Fee \$ \_\_\_\_\_

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of N/A,  
petition is hereby made for an extension to cover the date this response is filed for which the  
requisite fee is enclosed (1 month \$110; 2 months \$390; 3 months \$890; 4 months \$1390). \$ \_\_\_\_\_

If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130)  
Subtotal \$ \_\_\_\_\_

If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract - \$ \_\_\_\_\_  
Total = \$ \_\_\_\_\_

If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00) \$ \_\_\_\_\_

If "assignment" box is X'd, add recording fee (\$40.00) \$ \_\_\_\_\_

If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h). \$ \_\_\_\_\_

**TOTAL FEE ENCLOSED \$ 0.00**

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

Post Office Box 1449  
Greenville, South Carolina 29602  
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DORITY & MANNING

ATTORNEYS AT LAW, P.A.

By Atty: Charles. R. Ducker, Jr. Reg. No. 46,542

Signature: Charles R Ducker Jr

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on

July 9, 2001

Lynn Watkins

(Typed or printed name of person mailing paper or fee)

Lynn Watkins  
(Signature of person mailing paper or fee)

Application Number:

Attachment to Paper No.:



**REISSUE SUPPLEMENT  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

This Reissue Supplement is an attachment to:

"Notice to File Missing Parts of Application" Filing Date Granted

"Notice of Incomplete Application" No filing Date Granted

6/7

- The item(s) indicated below as missing must be filed within the period for reply set on the attached form to avoid abandonment.

Correction of the following is required to complete the reissue application:

1. The reissue specification has not been provided in double-column format as is required by 37 CFR 1.173(a)(1).

2. Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees.

3. Consent of the assignee is present, but is unsigned. A statement of consent bearing the signature of an official authorized to act on behalf of the assignee(s) must be provided.

4. Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.72 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b). See MPEP Sec. 324.

5. Assignee's statement under 37 CFR 3.73(b) is present, but is unsigned. A 37 CFR 3.73(b) statement bearing the signature of an official authorized to act on behalf of the assignee must be provided.

A handwritten signature in cursive script that appears to read "D. Bates".

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



3  
ATTORNEY DOCKET NO.: AVX-38-RE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application )  
Reissue of Cain et al. ) Examiner: Unknown  
)  
Patent No.: 5,898,562 ) Art Unit: Unknown  
)  
Issued: April 27, 1999 ) Deposit Acct. No.: 04-1403  
)  
Title: Integrated Dual Frequency )  
Noise Attenuator )

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**REISSUE SUPPLEMENT: MISSING PART OF APPLICATION**

Sir:

Attached hereto, please find one copy, in two column format, of U.S. Patent No. 5,898,562, as issued on April 27, 1999 with all requested changes indicated as specified under 37 CFR 1.173 and as requested in the Notice To File Missing Parts of Application mailed June 8, 2001.

Respectfully submitted,

DORITY & MANNING, P.A.

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